

MINUTES

NORTH CAROLINA AUCTIONEER LICENSING BOARD

April 12, 2021

The North Carolina Auctioneer Licensing Board met on Monday, April 12, 2021 by video conference due to Executive Orders limiting mass gatherings, which were issued to address the COVID-19 pandemic.

The meeting was called to order at 10:06 a.m. Members participating were Chairman Lisa D. York, Vice Chairman Buck Lattimore, Willie A. Johnson, and Dan DeVane. Also participating were Executive Director Charles F. Diehl, Administrative Officer Becky Stewart, and Special Deputy Attorney General Anne Brown with the NC Department of Justice. Member Allison H. Pant was not able to participate.

Chairman York inquired of each member if there were any known conflicts of interest or any appearance of conflicts with respect to any matters coming before the Board during this meeting. Members stated there were none.

Minutes of the meeting held on March 8, 2021 were reviewed. **Member DeVane made a motion to accept the March 8, 2021 minutes with an amendment for clarity purposes to insert the word “investigate” before “courses offered” and “partnering with real estate” in the paragraph regarding continuing education research. Member Johnson seconded the motion, which carried.**

Next, Director Diehl reported on the staff informal investigations and advertising violations for the month of March. There is one (1) active formal complaint case currently under investigation, which should be ready for Probable Cause soon, and one (1) additional formal complaint that was recently received.

At this time, Director Diehl provided the results of the April 1, 2021 auctioneer

examinations, which were as follows: four (4) examinees with three (3) passing and one (1) failing.

Then, Director Diehl presented the financial report. **Vice Chairman Lattimore made a motion to approve the financial report for the month of March. Member DeVane seconded the motion, which carried unanimously.**

Next, Director Diehl presented for the Board's consideration new continuing education courses and instructors for the Auctioneers Association of North Carolina (AANC): "*Being an Auction Ambassador*" – Michael Parker and Matthew Parker; "*Creating Enforceable Contracts*" – Michael Parker and Matthew Parker; "*Great Ideas Forum*" – Michael Parker and Matthew Parker; and "*Criminal Law & the Auctioneer: Protecting Your Interest*" – Michael Parker and Matthew Parker. **Member Johnson made a motion to approve the new continuing education courses and instructors for the AANC. Vice Chairman Lattimore seconded the motion, which carried unanimously.**

At this time Director Diehl led a discussion on the consideration of an assessment for the Recovery Fund for the Fiscal Year 2021-2022 license period. Following discussion, **Member DeVane made a motion that no assessment to the Recovery Fund take place during the Fiscal Year 2021-2022 license period. Vice Chairman Lattimore seconded the motion, which carried unanimously.**

Next, the Board reviewed the Request for CE Waiver for Renewal Year 2021/2022 – William A. Slade, NCAL #3703. Director Diehl reported that subsequent to submitting the waiver request, Mr Slade was able to attend the CE event in Williamston. **Vice Chairman Lattimore made a motion to hold the request for CE waiver of William A. Slade in abeyance. Member DeVane seconded the motion, which carried unanimously. Chairman York directed staff to inform Mr. Slade of the Board's decision.**

At this time, Director Diehl led a discussion on Pre-Licensing/Pre-Education issues. There have been concerns expressed by Board members that potential applicants may not understand the license application process. Staff had been instructed to develop a Frequently Asked Questions document to address these concerns. After review of the draft document and discussion, **Vice Chairman Lattimore made a motion to approve the Pre-Licensing/Pre-Education FAQs document, and instructed staff to provide each school with a copy to share with their prospective students and place it on the Board's website. Member Johnson second the motion, which carried unanimously.**

Next, Director Diehl provided a report on the NCALB funded continuing education program. Several sessions have already taken place, and the remaining live sessions are scheduled for the following weeks in Winston-Salem, Sylva, Jacksonville, Fayetteville, Kinston, and Durham. Director Diehl has attended all the sessions held to date and plans to attend the remaining sessions. Additionally, he recently visited with the Lenoir Community College auction class, and he will be visiting with the Stanly Community College (Carolina Auction Academy) auction class on Monday, April 19, 2021.

Director Diehl reported that Chairman York has requested that staff solicit feedback regarding the continuing education program from all attendees. This will give the licensees an opportunity to share their impressions of the continuing education program. The data will be helpful in making decisions for future years. This data will also be shared with the continuing education sponsor, AANC. Staff will solicit feedback from all attendees by email, and for those attendees who do not have an email address, feedback will be requested by regular mail. Director Diehl reported that he is working on the assigned CE issues report for the Board, which will include analysis of the CE program feedback received from licensees.

Next, a discussion was held regarding schools of auctioneering and online instruction.

April 12, 2021

At this time, Director Diehl reminded each Board member that their Statement of Economic Interest (SEI) is due by April 15.

Next, Chairman York acknowledged the applicants George LaVan Clement, Susan Dixon Leading Fox, and Sharon Childers Roseman for auctioneer licenses, and F & F Triangle Realty, LLC, Holloman's Auctions, Inc., Magnolia Estate Sales, LLC, and Roseman Homes, LLC for auction firm licenses. **Member Johnson made a motion to approve the applicants for auctioneer licenses and auction firm licenses. Vice Chairman Lattimore seconded the motion, which carried unanimously.**

The Board confirmed the next regular meeting on Monday, May 10, 2021 at 10:00 a.m. It will be decided later if the meeting will be held live or by video conference.

Then, a discussion was led by Director Diehl on the 2021-2022 renewals and challenges licensees have faced meeting CE requirements. **Member DeVane made a motion to authorize staff to send all currently license auctioneers and auction firms 2021-2022 renewal applications, and instructed staff to work with any renewing licensees that have not yet satisfied their continuing education requirements. Member Johnson seconded the motion, which carried unanimously.**

Vice Chairman Lattimore made a motion to adjourn the meeting. Member Johnson seconded the motion, which carried unanimously.

The meeting adjourned at 11:04 a.m.

Respectfully Submitted,

Becky J. Stewart
Administrative Officer