

**MINUTES**

**NORTH CAROLINA AUCTIONEER LICENSING BOARD**

**August 10, 2020**

The North Carolina Auctioneer Licensing Board met on Monday, August 10, 2020 telephonically due to Executive Orders limiting mass gatherings, which were issued to address the COVID-19 pandemic.

The meeting was called to order at 10:00 a.m. Members participating were Chairman Lisa D. York, Vice Chairman Buck Lattimore, Dan DeVane, Willie A. Johnson, and Allison H. Pant. Also participating were Executive Director Charles F. Diehl, Administrative Officer Becky Stewart, and Special Deputy Attorney General Anne Brown with the NC Department of Justice.

Chairman York inquired of each member if there were any known conflicts of interest or any appearance of conflicts with respect to any matters coming before the Board during this meeting. Member DeVane recused himself from consideration of the Probable Cause recommendation on item 1 due to his participation in the first of two Probable Cause Subcommittee meetings. Member Pant recused herself from consideration of the Probable Cause recommendations on items 2 through 6 due to her participation in the second of two Probable Cause Subcommittee meetings. Member Pant recused herself from item 1 due to a potential conflict. Other members stated there were none.

Minutes of the meeting held on July 13, 2020 were reviewed. **Member DeVane made a motion to approve the minutes. Vice Chairman Lattimore seconded the motion, which carried unanimously.**

Then, Director Diehl reported on the staff investigations and ad violations for the month of July. There are currently four (4) formal complaint cases under investigation of which two will be considered today during the Probable Cause Report.

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Next, the Board considered the Probable Cause Report. **Member Johnson made a motion to approve item 1 on the Probable Cause Report. Vice Chairman Lattimore seconded the motion, which carried unanimously.** Prior to the motion or any discussion, Member DeVane had recused himself from consideration of the Probable Cause Report for item 1 due to his participation in the first of two Probable Cause Subcommittee meetings, and Member Pant recused herself from consideration of the Probable Cause Report for item 1 due to a potential conflict. **Member DeVane made a motion to approve items 2 through 6 on the Probable Cause Report. Member Johnson seconded the motion, which carried unanimously.** Prior to the motion or any discussion, Member Pant had recused herself from consideration of the Probable Cause Report for items 2 through 6 due to her participation in the second of two Probable Cause Subcommittee meetings.

Next, Director Diehl presented the financial report. **Vice Chairman Lattimore made a motion to approve the financial report for the month of July. Member Johnson seconded the motion, which carried unanimously.**

Next, Director Diehl provided the results of the July 16, 2020 auctioneer examination, which were as follows: Six (6) examinees with six (6) passing and zero (0) failing. This exam had been scheduled in April, but was postponed due to the Executive Order relating to COVID-19.

Then, Director Diehl provided a report on the 3-year Request for Proposals for audit services for fiscal years ending June 30, 2020, June 30, 2021, and June 30, 2022. Two CPA firms had submitted proposals for review. **After review, Vice Chairman Lattimore made a motion to award the proposal to Shelton L. Hawley, CPA as the Board's auditor for years ending June 30, 2020, June 30, 2021, and June 30, 2022. Member Johnson seconded the motion, which carried unanimously.**

The next item on the agenda was the Consideration of the Recovery Fund Claims in the matter of Gregory E. Vernelson, NCAL #8230/Franklin Auction Company, Inc., NCFL #10192.

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After review of the claims, **Member DeVane made a motion to authorize payment of the submitted claims per 85B-4.5, and that the Board consider legal action against the licensee after payments have been made. Member Johnson seconded the motion, which carried unanimously.**

At this time, the Board considered the applications for licensing. Chairman York acknowledged applicants Matthew Perry Enlowe, Nicholas Scott Fracassi, James Patrick Glennon, Kenneth Brian Lee, Brian Lee Oaks, Richard Coy Spruill, Landon Barry, and Joshua David Taylor for auctioneer licenses. **Member DeVane made a motion to approve the auctioneer license applications. Member Pant seconded the motion, which carried unanimously. Chairman York made a motion to approve the auctioneer license application for Teresa M. Spruill. Member Johnson seconded the motion, which carried unanimously. Chairman York made a motion to approve the auctioneer license application for Jennifer Rae Jester. Vice Chairman Lattimore seconded the motion, which carried unanimously.** Members DeVane and Pant had recused themselves prior to any discussion or votes on applications referenced in the Probable Cause Report due to their participation in the Probable Cause Subcommittee meetings and the potential conflict for Member Pant. **Member DeVane made a motion to approve the auction firm license application for Granville Auction Company LLP. Vice Chairman Lattimore seconded the motion, which carried unanimously. Member DeVane made a motion to approve the auction firm license application for Traditions Auction Company LLC. Vice Chairman Lattimore seconded the motion, which carried unanimously.** Prior to any discussions or motion, Member Pant had recused herself due to her participation on the second of two Probable Cause Subcommittee meetings.

The Board confirmed the next regular meeting on Monday, September 14, 2020 at 10:00 a.m. **Member Pant made a motion to adjourn the meeting. Vice Chairman Lattimore seconded the motion, which carried.**

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The meeting adjourned at 10:44 a.m.

Respectfully Submitted,

Becky J. Stewart  
Administrative Officer