



North Carolina Auctioneer Licensing Board  
108 Ber Creek Drive, Fuquay-Varina, NC 27526  
919-567-2844  
info@ncalb.org

## PETITION FOR PREDETERMINATION

CAREFULLY READ all instructions in this petition and the North Carolina Auctioneer Licensing Board Laws and Rules, which provides a detailed explanation of all petition, application, and licensing policies and procedures. NCALB Laws/Rules is available on the Board's website at [www.ncalb.org](http://www.ncalb.org) or you may request a copy be mailed to you.

### **THIS IS NOT AN APPLICATION FOR AN AUCTIONEER, APPRENTICE AUCTIONEER OR AUCTION FIRM LICENSE**

#### **Documentation Required:**

1. You must pay for and attach a criminal history report obtained through the State Bureau of Investigation. A **State and Federal** (national) background check is required. To request a State personal background check visit <https://www.ncsbi.gov/Services/Background-Checks> and request a "**Right to Review application.**" To request a Federal background check visit <https://www.ncsbi.gov/Services/Background-Checks> and request a "**Identity History Summary Check.**"
2. For each conviction, please provide a copy of the court judgment and a detailed written explanation in your own words describing the circumstances surround the offense.

Each petitioner's criminal background will be considered using the following factors outlines in N.C.G.S. § 93B-8.1(b1):

1. The level and seriousness of the crime.
2. The date of the crime.
3. The age of the person at the time of the crime.
4. The circumstances surrounding the commission of the crime, if known.
5. The nexus between the criminal conduct and the prospective duties of the applicant as a licensee.
6. The prison, jail, probation, parole, rehabilitation, and employment records of the applicant since the date the crime was committed.
- 6a. The completion of, or active participation in, rehabilitative drug or alcohol treatment.
- 6b. A Certificate of Relief granted pursuant to G.S. § 15A-173.2.
7. The subsequent commission of a crime by the applicant.
8. Any affidavits or other written documents, including character references.

Only the petition and documentation you submit will be considered in the Board's predetermination. The Board will not be able to accept or consider additional documentation while your petition is being processed. **Be sure to include any information you would like to be considered with your petition.**

**Filing a petition is not a requirement of licensure. Read the following statements to decide whether filing a petition is right for you. Check each box that is applicable.**

1. If you have ever been convicted of a state, federal, or military crime, you may use this petition from to request a predetermination of whether your criminal history will likely disqualify you from obtaining a license. If you have no state, federal, or military  
 I have a criminal history and would like a predetermination of whether it will likely disqualify me from obtaining a license.
2. If you have any pending criminal charge(s) against you at the time you submit a petition, the Board will be unable to issue a predetermination and your petition will be considered incomplete.  
 I do not have any pending criminal charges) against me.
3. You may submit a petition for predetermination to the Board at any time, including before you start or complete any training requirements.  
 I understand the above statement.

PD 13.1

4. If you choose to submit an application for an auctioneer, apprentice auctioneer, or auction firm license after you receive a pre-determination on your petition, you will still be obligated to meet all current requirements at that time for request of licensure and pay applicable fees, including a new criminal history record searches if more than six months have passed from submission of petition.

I understand that I will still be required to all current requirements at the time of request for licensure and pay the required fees if I later want to submit an application for licensure.

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1. Full Legal Name

Title  Mr.  Ms.

First Name: \_\_\_\_\_

Full Middle Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

Suffix (if any): \_\_\_\_\_

2. Mailing Address

PO Box or Street Address: \_\_\_\_\_

City: \_\_\_\_\_

State: \_\_\_\_\_

Zip Code \_\_\_\_\_

3. Legal Residence Street Address (if same as mailing address, leave blank.)

Street Address: \_\_\_\_\_

City: \_\_\_\_\_

State: \_\_\_\_\_

Zip Code \_\_\_\_\_

4. Social Security Number: \_\_\_\_\_ - \_\_\_\_\_ \_\_\_\_\_

5. Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Month Date Year

6 Contact information:

Phone number : \_\_\_\_\_

Email: \_\_\_\_\_

7. License History: Have you EVER held an auctioneer license, apprentice auctioneer, or auction firm license, including being a designated person of a firm in N.C. or elsewhere?

Yes  No

If yes, provide details:

State	License No.	Type of License	From (mo/yr)	To (mo/yr)

8. List all residences during the past seven (7) years. List present address first. (Enclose additional sheets if needed.)

Street Address	City	County	State	From (mo/yr)	To (mo/yr)

9. Employment History: Are you currently employed?  Yes  No

Describe all employment during the past seven (7) years. Including self-employment. List present employment first. Indicate "NONE" for any period you were not employed. Enclose additional sheet if needed.

<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/yr</u>
<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/yr</u>
<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/yr</u>

<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/yr</u>
<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/year</u>
<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/year</u>
<u>Company Name</u>	<u>Street Address</u>	<u>City</u>	<u>State</u>	<u>Phone (9-digits)</u>
<u>Position/Title</u>	<u>Description of Duties</u>		<u>From mo/year</u>	<u>To mo/year</u>

10. Criminal Offenses:

Attach a document listing all state, federal, or military criminal convictions that you have ever received in your lifetime. For each conviction, provide a copy of the court judgment and a detailed written explanation in your own words describing the circumstances surrounding the offense.

A. Have you taken part in any rehabilitation efforts since the commission of your crime(s)?

Yes  No If yes, attach documentation demonstrating rehabilitation efforts.

B. Have you completed, or are you an active participant in, any drug rehabilitation or alcohol treatment program?

Yes  No If yes, attach documentation demonstrating completion or participation in such program.

C. Have you been granted a Certification of Relief pursuant to N.C.G.S. § 15A-173.2?

Yes  No If yes, attach a copy of the Certificate of Relief.

D. If you have any other documentation you would like the Board to review as part of your petition, please attach.

11. In making this petition to the North Carolina Auctioneer Licensing Board under the provisions of Chapter 93B of the General Statutes of North Carolina and the North Carolina Auctioneer Licensing Board, I swear (or affirm) that I am the petitioner herein and that all information provided in connection with this petition is true to the best of my knowledge and belief, with the understanding that any omissions, inaccuracies or failure to make full disclosures may nullify any predetermination provided by this Board. I authorize the North Carolina Auctioneer Licensing Board to obtain or receive any criminal history information pertaining to me in public or private record sources.

Signature of Petitioner \_\_\_\_\_ Date: \_\_\_\_\_